

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: Unassigned  
Examiner: Unassigned

In Re PATENT APPLICATION OF:

Applicants : Hirotaka KOMATSUBARA  
Serial No. : Unassigned  
Filed : January 28, 2004  
For : SEMICONDUCTOR DEVICE AND  
METHOD FOR MANUFACTURING  
THE SAME  
Attorney Ref. : KAN 155

**INFORMATION  
DISCLOSURE  
STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

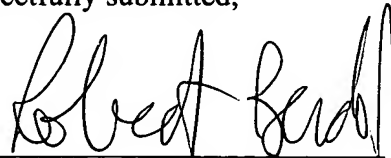
Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(b)(1).

Attached are copies of six Japanese patent publications and one English-language article. The publications and article are listed on the attached Form PTO-1449. Also listed on the Form PTO-1449 for consideration is one U.S. patent. Any relevance of the Japanese patent publications can be gleaned from the attached English-language abstracts. Any relevance of English-language documents is self-evident. Any further relevance of the Japanese patent publications and English article can be gleaned from pages 1 through 3 of the present application, where they are discussed.

Since this Information Disclosure Statement is being filed with the application, no certification or fee is required, and the requirements of 37 C.F.R. §§1.97 and 1.98 are deemed to be fully met as to the documents submitted. Consideration of the submitted and listed documents is respectfully requested.

Respectfully submitted,



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January 28, 2004  
Date

FEE ENCLOSED: \$810  
Please charge any further  
fee to our Deposit Account  
No. 13-0002

RHB:tz

<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>				Atty Docket		Application No.	
				KAN 155		To Be Assigned	
				Applicant			
				Hirotaka KOMATSUBARA			
				Filing Date		Group Unit	
				January 28, 2004		To Be Assigned	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	6,566,712	05/20/03	Hayashi et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AH	2003-124303	04/25/03	Japan			Abstract
	AI	2001-148418	05/29/01	Japan			Abstract
	AJ	2001-102571	04/13/01	Japan			Abstract
	AK	2000-306994	11/02/00	Japan			Abstract
	AL	07-115125	05/02/95	Japan			Abstract
	AM	01-138730	05/31/89	Japan			Abstract
	AN						
<b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AO	Thomas et al., Characteristics of Submicrometer LOCOS Isolation, Proceedings 1995 International SOI conference, Oct. 1995, P116-117					
	AP						
	AQ						
	AR						
Examiner					Date Considered		
<b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							